#### **THIRTEENTH FINANCE COMMISSION**

The composition of the Thirteenth Finance Commission is as follows:

- 1. Dr. Vijay L. Kelkar .... Chairman
- 2. Shri B.K. Chaturvedi .... Member (Part-time)
- 3. Dr. Indira Rajaraman .... Member
- 4. Prof. Atul Sarma .... Member
- 5. Dr. Sanjiv Misra .... Member

Shri Sumit Bose is Secretary to the Commission

The following Gazette Notifications are placed below for reference:

- > Dated 14th November, 2007;
- ➤ Dated 28th March, 2008;
- > Dated 25th August, 2008 and
- ➤ Dated 20<sup>th</sup> October, 2009

#### MINISTRY OF FINANCE

### (Department of Economic Affairs) NOTIFICATION

New Delhi, the 13th November, 2007

S.O. 1937(E).—The following order made by the President is published for general information:—

#### ORDER

In pursuance of clause (1) of article 280 of the Constitution read with the provisions contained in the Finance Commission (Miscellaneous Provisions) Act, 1951(33 of 1951), the President is pleased to constitute a Finance Commission consisting of Dr. Vijay L. Kelkar, former Union Finance Secretary and Adviser to the then Finance Minister, as the Chairman and the following four other members, namely:-

Shri B. K. Chaturvedi
 Member, Planning Commission

Member (Part-Time)

Dr. Indira Rajaraman
 Professor Emeritus,
 National Institute of Public Finance & Policy,
 New Delhi

Member

Dr. Abusaleh Shariff
 Chief Economist,
 National Council of Applied Economic Research,
 New Delhi

Member

Prof. Atul Sarma
 Former Vice-Chancellor,
 Rajiv Gandhi University
 (formerly Arunachal University)

Member

Shri Sumit Bose shall be the Secretary to the Commission.

- The Chairman and the other members of the Commission shall hold office upto the 31<sup>st</sup> day of October, 2009, from the date on which they respectively assume their office.
- The Commission shall make recommendations as to the following matters, namely:-
  - (i) the distribution between the Union and the States of the net proceeds of taxes which are to be, or may be, divided between them under Chapter I Part XII of the Constitution and the allocation between the States of the respective shares of such proceeds;
  - (ii) the principles which should govern the grants-in-aid of the revenues of the States out of the Consolidated Fund of India and the sums to be paid to the States which are in need of assistance by way of grants-in-aid of their revenues under article 275 of the Constitution for purposes other than those specified in the provisos to clause (1) of that article; and
  - (iii) the measures needed to augment the Consolidated Fund of a State to supplement the resources of the Panchayats and Municipalities in the State on the basis of the recommendations made by the Finance Commission of the State.

- 5. The Commission shall review the state of the finances of the Union and the States, keeping in view, in particular, the operation of the States' Debt Consolidation and Relief Facility 2005-2010 introduced by the Central Government on the basis of the recommendations of the Twelfth Finance Commission, and suggest measures for maintaining a stable and sustainable fiscal environment consistent with equitable growth.
- In making its recommendations, the Commission shall have regard, among other considerations, to -
  - (i) the resources of the Central Government, for five years commencing on 1st April 2010, on the basis of levels of taxation and non-tax revenues likely to be reached at the end of 2008-09;
  - (ii) the demands on the resources of the Central Government, in particular, on account of the projected Gross Budgetary Support to the Central and State Plan, expenditure on civil administration, defence, internal and border security, debt-servicing and other committed expenditure and liabilities;
  - (iii) the resources of the State Governments, for the five years commencing on 1st April 2010, on the basis of levels of taxation and non-tax revenues likely to be reached at the end of 2008-09;
  - (iv) the objective of not only balancing the receipts and expenditure on revenue account of all the States and the Union, but also generating surpluses for capital investment;

- (v) the taxation efforts of the Central Government and each State Government and the potential for additional resource mobilisation to improve the tax-Gross Domestic Product ratio in the case of the Union and tax-Gross State Domestic Product ratio in the case of the States;
- (vi) the impact of the proposed implementation of Goods and Services Tax with effect from 1<sup>st</sup> April, 2010, including its impact on the country's foreign trade;
- (vii) the need to improve the quality of public expenditure to obtain better outputs and outcomes;
- (viii) the need to manage ecology, environment and climate change consistent with sustainable development;
- (ix) the expenditure on the non-salary component of maintenance and upkeep of capital assets and the non-wage related maintenance expenditure on plan schemes to be completed by 31st March, 2010 and the norms on the basis of which specific amounts are recommended for the maintenance of the capital assets and the manner of monitoring such expenditure;
- (x) the need for ensuring the commercial viability of irrigation projects, power projects, departmental undertakings and public sector enterprises through various means, including levy of user charges and adoption of measures to promote efficiency.
- 7. In making its recommendations on various matters, the Commission shall take the base of population figures as of 1971, in all such cases where population is a factor for determination of devolution of taxes and duties and grants-in-aid.

- 8. The Commission may review the present arrangements as regards financing of Disaster Management with reference to the National Calamity Contingency Fund and the Calamity Relief Fund and the funds envisaged in the Disaster Management Act, 2005(53 of 2005), and make appropriate recommendations thereon.
- The Commission shall indicate the basis on which it has arrived at its findings
  and make available the estimates of receipts and expenditure of the Union and each
  of the States.
- The Commission shall make its report available by the 31<sup>st</sup> day of October,
   2009, covering the period of five years commencing on the 1<sup>st</sup> day of April, 2010.

New Delhi 14th November, 2007 Smt. PRATIBHA DEVISINGH PATIL President

> [No. 10(2)-B(S)/2007] L. M. VAS, Jt. Secy. (Budget)

#### MINISTRY OF FINANCE

#### (Department of Economic Affairs)

#### NOTIFICATION

New Delhi, the 27th March, 2008

S.O. 780(E).—The following Order made by the President is published for general information :-

#### ORDER

Whereas, the Thirteenth Finance Commission has been constituted by the President by Order published with the notification of the Government of India in the Ministry of Finance (Department of Economic Affairs) vide number S.O. 1937(E), dated the 14th November, 2007 and Dr. Abusaleh Shariff was appointed as Member of the said Commission.

And, whereas, Dr. Abusaleh Shariff has resigned as Member and the President has been pleased to accept the said resignation with effect from the 14th January, 2008;

Now, therefore, in pursuance of clause (1) of article 280 of the Constitution, read with Sections 3, 4 and 6 of the Finance Commission (Miscellaneous Provisions) Act, 1951 (33 of 1951), the President is pleased to appoint Dr. Sanjiv Misra as Member of the Finance Commission vice Dr. Abusaleh Shariff and for that purpose makes the following amendment in the Order aforesaid, namely:—

In the said Order, in paragraph 1, for serial number 3 and the entries relating thereto, the following serial number and entries shall be substituted, namely:—

"3.	Dr. Sanjiv Misra		Member
	Secretary (Expenditure),		
	Ministry of Finance,		
	(Department of Expenditure),		
	North Block, New Delhi.	9	

Sd/

(Smt. PRATHIBHA DEVISINGH PATIL)

President

New Delhi; Dated the 28th March, 2008

> [F. No. 10(2)-B(S)/2007] L. M. VAS, Addl. Secy.

Note: The principal for constitution of the Finance Commission was published in the Gazette of India, Extraordinary vide number S.O. 1937(E), dated the 14th November, 2007.

## HRAA AJUNA The Gazette of India

असाधारण

EXTRAORDINARY

भाग II—खण्ड 3—उप-खण्ड (ii) PART II—Section 3—Sub-section (ii)

प्राधिकार से प्रकाशित PUBLISHED BY AUTHORITY

ਸਂ. 1216] No. 1216] नई दिल्ली, सोमवार, अगस्त 25, 2008/भाद्र 3, 1930 NEW DELHI, MONDAY, AUGUST 25, 2008/BHADRA 3, 1930

वित्त मंत्रालय

( आर्थिक कार्य विभाग )

अधिसूचना

नई दिल्ली, 25 अगस्त, 2008

का.आ. 2107(अ).—राष्ट्रपति द्वारा किए गए निम्नलिखित आदेश को सर्वसाधारण की जानकारी के लिए प्रकाशित किया जाता है:-

#### आदेश

राष्ट्रपति वित्त आयोग (प्रकीर्ण उपबंध) अधिनियम, 1951 (1951 का 33) में अन्तर्विष्ट उपबंधों के साथ पठित संविधान के अनुच्छेद 280 के खण्ड (1) के अनुसरण में, भारत के राजपत्र (असाधारण) तारीख 14 नवम्बर, 2007 में प्रकाशित आदेश संख्या का.आ. 1937 (अ) तारीख 13 नवम्बर, 2007 में निम्नलिखित संशोधन करती है अर्थातु:—

उक्त आदेश में, पैराग्राफ 8 के पश्चात्, निम्नलिखित पैराग्राफ अंत:स्थापित किया जाएगा, अर्थात्, —

> ''8क. तेल, खाद्य तथा उर्वरक बांडों के कारण केन्द्र सरकार की देयताओं को राजकोषीय हिसाब में लाने की आवश्यकता और घाटा लक्ष्यों के संबंध में केन्द्र सरकार के विभिन्न अन्य दायित्वों के प्रभाव को ध्यान में रखते हुए, आयोग राजकोषीय समायोजन की रूपरेखा की समीक्षा कर सकता है और वर्ष 2010 से 2015 में राजकोषीय समेकन के लाभों को बनाए

रखने की दृष्टि से एक उपयुक्त पुनरीक्षित रूपरेखा का सुझाव दे सकता है।"

丙./-

श्रीमती प्रतिभा देवीसिंह पाटिल राष्ट्रपति

नई दिल्ली तारीख 25 अगस्त, 2008

[फा. सं. 10(2)-बी(एस)/2007]

एल. एम. वास, अपर सचिव (बजट)

पाद टिप्पण : आदेश संख्या का. आ. 1937 (अ) तारीख 13 नवम्बर, 2007 भारत के राजपत्र भाग-II, खण्ड 3, उप-खंड (i) में सं. का.आ. 1937 (अ) तारीख 14 नवम्बर, 2007 द्वारा प्रकाशित किया गया था।

#### MINISTRY OF FINANCE

(Department of Economic Affairs)

#### NOTIFICATION

New Delhi, the 25th August, 2008

S.O. 2107.— The following Order made by the President is published for general information:—

#### ORDER

In pursuance of clause (1) of article 280 of the Constitution read with the provisions contained in the Finance Commission (Miscellaneous Provisions) Act, 1951 (33 of 1951), the President is pleased to amend the Order

number S.O.1937 (E), dated the 13th November, 2007 published in the Gazette of India (Extraordinary), dated the 14th November, 2007, namely:—

In the said Order, after Paragraph 8, the following Paragraph shall be inserted, namely,—

"8. A. Having regard to the need to bring the liabilities of the Central Government on account of oil, food and fertilizer bonds into the fiscal accounting, and the impact of various other obligations of the Central Government on the deficit targets, the Commission may review the roadmap for fiscal adjustment and suggest a suitably revised roadmap with a view to

maintaining the gains of fiscal consolidation through 2010 to 2015."

Sd/-

Smt. PRATIBHA DEVISINGH PATIL
President

New Delhi 25th August, 2008

[F. No.10(2)-B(S)/2007]

L.M. VAS, Addl. Secy. (Budget)

Foot Note:—The Order number S.O. 1937(E), dated the
13th November, 2007 was published in
Part II, Section 3, Sub-section (i) of the
Gazette of India, vide, S.O. 1937(E), dated
the 14th November, 2007.

# He Gazette of India

असाधारण

#### **EXTRAORDINARY**

भाग II — खण्ड 3 — उप-खण्ड (ii)

PART II - Section 3 - Sub-section (ii)

प्राधिकार से प्रकाशित

PUBLISHED BY AUTHORITY

ਜਂ, 1674] No. 1674] नई दिल्ली, मंगलवार, अक्तूबर 20, 2009/आश्विन 28, 1931 NEW DELHI, TUESDAY, OCTOBER 20, 2009/ASVINA 28, 1931

वित्त मंत्रालय (आर्थिक कार्य विभाग) अधिसूचना

नई दिल्ली, 18 अक्तूबर, 2009

का.आ. 2629(अ).—राष्ट्रपति द्वारा किया गया निम्नलिखित आदेश सर्वसाधारण की जानकारी के लिए प्रकाशित किया जाता है :—

#### आदेश

राष्ट्रपति, वित्त आयोग (प्रकीण उपबंध) अधिनियम, 1951 (1951 का 33) की धारा 6 और धारा 8 के साथ पठित संविधान के अनुच्छेद 280 के उपबंधों के अनुसरण में यह निदेश देती हैं कि—

- (i) भारत सरकार के वित्त मंत्रालय (आर्थिक कार्य विभाग) की अधिसूचना संख्यांक का.आ. 1937(अ) तारीख 14 नवम्बर, 2007 द्वारा भारत के राजपत्र, असाधारण में तारीख 14 नवम्बर, 2007 को प्रकाशित आदेश में,—
  - (क) पैरा 3 में, "31 अक्तूबर, 2009" अंक और शब्द के स्थान पर "31 जनवरी, 2010" अंक और शब्द रखे जाएंगे:
  - (ख) पैरा 10 में "31 अक्तूबर, 2009" अंक और शब्द के स्थान पर "31 दिसम्बर, 2009" अंक और शब्द रखे जाएंगे।

ह./-

(श्रीमती प्रतिभा देवीसिंह पाटिल) गष्टपति

[फा. सं. 10(3)-बी(एस)/2009]

शशिकांत दास, संयुक्त सचिव (बजट)

टिप्पण: मूल अधिसूचना भारत के राजपत्र, असाधारण में अधिसूचना संख्यांक का.आ. 1937(अ) तारीख 14 नवम्बर, 2007 द्वारा प्रकाशित की गई थी।

MINISTRY OF FINANCE

(Department of Economic Affairs)

#### NOTIFICATION

New Delhi, the 18th October, 2009

S.O. 2629(E).—The following Order made by the President is to be published for general information:—

#### ORDER

In pursuance of the provisions of Article 280 of the Constitution read with Sections 6 and 8 of the Finance Commission (Miscellaneous Provisions) Act, 1951 (33 of 1951), the President hereby directs that—

- (i) in the Order dated the 14th November, 2007 published in the Gazette of India, Extraordinary vide notification of the Government of India in the Ministry of Finance (Department of Economic Affairs), number S.O. 1937(E), dated the 14th November, 2007,—
  - (a) in paragraph 3, for the words, figures and letters "the 31st day of October, 2009", the words, figures and letters "the 31st day of January, 2010" shall be substituted;
  - (b) in paragraph 10, for the words, figures and letters "the 31st day of October, 2009", the words, figures and letters "the 31st day of December, 2009" shall be substituted.

Sd/-

(SMT. PRATIBHA DEVISINGH PATIL)
PRESIDENT

[F. No. 10 (3)-B(S)/2009]

SHAKTIKANTADAS, Jt. Secy. (Budget)

Note:—The principal notification was published in the Gazette of India, Extraordinary vide notification number S.O. 1937(E), dated the 14th November, 2007.

3757 GI/2009